IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	VINDEKILDE, Susanne
Serial No.	:	09/760,196
Filed	:	January 12, 2001
Title	:	SYSTEM AND METHOD FOR LISTING OFFERINGS OF COMMERCIAL PAPER AND OTHER INTERESTS
Examiner	:	WEISBERGER, Richard C.
Group Art Unit:		3624

DECLARATION OF SUSANNE VINDEKILDE

I, Susanne Vindekilde, declare as follows:

1.   I am the named inventor in the above-identified patent application.

2.   I currently am and have been employed by Bloomberg L.P. since May 3, 1999.

3.   The document, titled "Bloomberg Offerings on Money Markets – BOOM <GO> ("the *BOOM* Document"), attached as Exhibit A, describes in part the Bloomberg Offerings on Money Markets product ("the *BOOM* Product") distributed by Bloomberg L.P.

4.   I invented aspects of the *BOOM* Product that are described in the above-identified patent application and in the *BOOM* Document.

5.   Upon information and belief, the dates in the screens depicted in the *BOOM* Document are historical.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

7/5/06
_____
Date

Susanne Vindekilde
_____
Susanne Vindekilde

Bloomberg Offerings On Money Markets – BOOM <GO>

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                                                    Govt  BOOM
1<GO> to UPDATE profile and monitor offers. 2<GO> to delete.

        Bloomberg Offerings On Money Markets

          Dealer list      ALL          Search Profile  DEFAULT

          Approved issuer list       

          Maturity  From   1 to   90  0 Days

          Minimum Size          (M)    Minimum Yield         

          Ratings   X ALL    A1+P1 X A1 P1   SPLIT   A2 P2   Lower

          Sort order      M  R  S
          Maturity   Ratings   Size   Issuer   Yield   Discount
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Merrill Lynch   CREDIT SUISSE FIRST BOSTON   Banc of America Securities   CHASE

Deutsche Bank   JPMorgan   LEHMAN BROTHERS   Goldman Sachs

MORGAN STANLEY   PaineWebber   SMITH BARNEY

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Copyright 2000 BLOOMBERG L.P.    Frankfurt:69-920410  Hong Kong:2-977-6000  London:171-330-7500  New York:212-318-2000
Princeton:609-279-3000    Singapore:226-3000    Sydney:2-9777-8686    Tokyo:3-3201-8900    Sao Paulo:11-3048-4500
                                                                      1767-172-3  28-Jan-00  8:28:14
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BENEFITS: Bloomberg Offerings on Money Markets (BOOM) offers a liquidity platform for buyers of commericial paper. BOOM searches Bloomberg's commercial paper database and compiles a list of CP dealers whose offerings meet the defined invesmtent criteria.

Instead of looking up multiple commercial paper dealers for offerings, **money market portfolio managers, corporate treasurers** and **other buyers of commerical paper** can preview offerings from a variety of dealers and evaluate price/yield levels anynomously. The results of **BOOM's** CP search reveal which dealers post the most competitive prices— information necessary for quick transaction or further negotiation with trading partners. All money market portfolio managers **need are viewing privileges from the dealers** they transact with. BOOM only advertises a dealer's CP inventory to the buyside users that the dealer chooses to privilege. In this way, **BOOM helps CP dealers reach a broader audience or target a specific one.**

INTERPRETATION: The following section will show you how to to find the offerings that meet your criteria using BOOM to scan Bloomberg's database of dealer-placed commercial paper. BOOM defaults to all dealers since most BOOM users prefer to view as many dealers as possible. Why? Because institutional investment managers have a fiduciary responsibility to seek all possible sources in order to achieve the highest yield for their customers.

Type BOOM <GO>. If you have worked with BOOM before, the search profiles that you saved will appear in the "Prolies" column to the left. If you are using BOOM for the first time, the only choice in the "Profiles" column will invite you to "Add New Search." Doing so lets you define your search criteria and store it for future use.

Click on an amber field, then enter your criteria from Maturity, Minimum Size and Minimum Yield. When you click on any of choices for ratings, the field insert an "X" to select the ratings class or just tab into the field and type and "X." To deselect an option, just click on the field again to remove the "X."

Finally, you can manipulate the way that offering data appears on the screen by defining the "Sort Order" fields. Click on any of these fields for a drop-down menu. Most users prefer maturity, rating, and size. However some like to see yield or discount leading the sort order.

Now that you're finished entering your critieria, you'll still have some choicies to make. You can click on the green **<Search>** button to search the database. To save your work, click on the green **<Search and Save>** button instead.
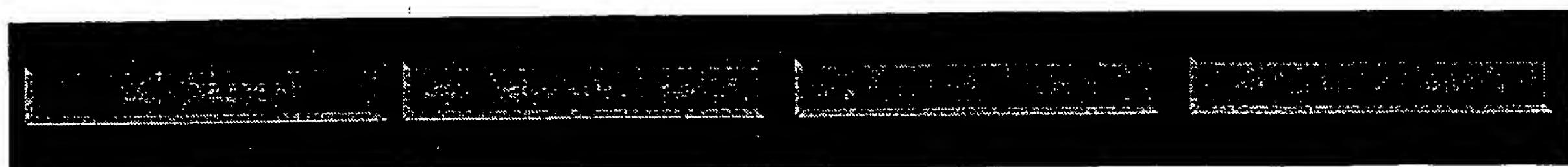
Once search profiles are set up, clicking on them will fill in the criteria in the right hand side. Then, you'll be given the choice to search, search and save, clear your critria, or delete your search If you opt to **<Delete Search>** you will see a prompt that asks,

Now you're ready to monitor offers. Click on **<Search and Save>**. A contributor list and the number of money market offerings that meet your criteria will display to the left.

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HELP> for explanation, MENU> for similar functions.  DG61 a Govt  BOOM

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       Bloomberg  Offerings  On  Money  Markets

┌──────────────────────────┐  ┌─────────────────────────────────────────────────
│  Search Results Summary  │  1  Size (M)  MERRILL LYNCH   Maturity  SD Discount   Yield   Page 1 of 30
│                          │  1)  26,000  COUNTRYWIDE HOME  12/03    5.620         5.621   A-1  P-2  3a3
│  1) Merrill Lynch   634  │  2)  18,200  DUQUESNE LIGHT    12/03    5.630         5.631   A-2  P-2  4.2
│  2) CS First Boston 158  │  3)  11,950  CONSTELLATION EN  12/03    5.580         5.581   A-1  P-1  4.2
│  3) Goldman Sachs   293  │  4)   9,500  SERVICE CRP INTL  12/03    6.050         6.051   A-3  P-3  4.2
│  4) Lehman Brothers 341  │  5)   4,273  KITTY HAWK FUND   12/03    5.590         5.591   A-1+ P-1  4.2
│  5) JP Morgan       100  │  6)   3,875  AI CREDIT CORP    12/03    5.550         5.551   A-1+ P-1  3a3
│  6) Smith Barney    180  │  7)   2,803  SIGMA FIN INC     12/03    5.590         5.591   A-1+ P-1  4.2
│  7) Chase            90  │  8)   2,000  MCN ENERGY ENTER  12/03    5.900         5.901   A-2  P-3  4.2
│  8) Banc of America 165  │  9)   1,741  UNITED PARCEL     12/03    5.550         5.551   A-1+ P-1  3a3
│  9) Morgan Stanley  133  │ 10)   1,258  HUMANA INC        12/03    5.930         5.931   A-2  P-3  4.2
│ 10) Deutsche Bank    26  │ 11)   1,200  GREYHAWK FUNDING  12/03    5.590         5.591   A-1+ P-1  4.2
│ 11) Paine Webber     62  │ 12)   1,002  OLD LINE FUNDING  12/03    5.590         5.591   A-1+ P-1  4.2
│ 12) Norwest Investment 32│ 13)   1,000  VIACOM INC        12/03    5.800         5.801   NR   P-3  4.2
│                          │ 14)     992  RECEIVABLES CAP   12/03    5.590         5.591   A-1+ P-1  4.2
│                          │ 15)     840  TRIDENT CAP FIN   12/03    5.600         5.601   A-1+ P-1  4.2
├──────────────────────────┤ 16)     839  COOPER ASSN TRAC  12/03    5.600         5.601   A-1+ NR   3a3
│     Search Options       │ 17)     813  CATH HEALTH PART  12/03    5.580         5.581   A-1+ P-1  4.2
│                          │ 18)     765  GILLETTE COMPANY  12/03    5.550         5.551   A-1+ P-1  4.2
│ 13) Create New Search    │ 19)     751  EAGLEFUNDING CAP  12/03    5.600         5.601   A-1  P-1  4.2
│ 14) View Saved Searches  │ 20)     743  LIMITED INC       12/03    5.680         5.681   A-2  P-2  3a3
│ 15) Contributor Display Options │
└──────────────────────────┘
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Since dealers maintain their privileging rights, you must have dealer authorization to view the offerings. Click to selecting a contributor. A table of offering results will appear to the right. For each piece of paper, you'll see the size of the offering, issuer, maturity, discount, yield and credit rating. To scroll through the list, click on "Page 1 of 30." To change the appearance of this display, click on **<Contributor Display Options>**.

Did you know that you can continue your pre-trade analysis without leaving this screen? If you click on a security, a pop up box will appear offering links to a variety of analytics.


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<HELP> for explanation, <MENU> for similar functions.    DG61 a Govt   BOOM
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Search Results Summary    |  Size (M)  MERRILL LYNCH   Maturity  SD Discount   Yield   Page 1 of 30
                         1)  26,000  COUNTRYWIDE HOME   12/03   x  5.620      5.621   A-1  P-2 3a3
1) Merrill Lynch     634  2)  18,200  DUQUESNE LIGHT     12/03   x  5.630      5.631   A-2  P-2 4.2
2) CS First Boston   158  3)  11,950  CONSTELLATION EN   12/03   x  5.580      5.581   A-1  P-1 4.2
3) Goldman Sachs     293  4)   9,500  SERVICE CRP INTL   12/03   x  6.050      6.051   A-3  P-3 4.2
4) Lehman Brothers   341  5)   4,273  KITTY HAWK FUND    12/03   x  5.590      5.591   A-1+ P-1 4.2
5) JP Morgan         100  6)   3,875  AI CREDIT CORP     12/03   x  5.550      5.551   A-1+ P-1 3a3
6) Smith Barney      180  7)   2,803  SIGMA FIN INC      12/03   x  5.590      5.591   A-1+ P-1 4.2
7) Chase              90  8)   2,000  MCN ENERGY ENTER   12/03   x  5.90?      5.901   A-2  P-3 4.2
8) Banc of America   165  9)   1,741  UNITED PARCEL                            5.551   A-1+ P-1 3a3
9) Morgan Stanley    133 10)   1,258  HUMANA INC                              5.931   A-2  P-3 4.2
10) Deutsche Bank     26 11)   1,200  GREYHAWK FUNDING                        5.591   A-1+ P-1 4.2
11) Paine Webber      62 12)   1,002  OLD LINE FUNDIN                         5.591   A-1+ P-1 4.2
12) Norwest Investment 32 13)  1,000  VIACOM INC                             5.601   NR   P-3 4.2
                        14)     992  RECEIVABLES CAP    12/03   x  5.590      5.591   A-1+ P-1 4.2
                        15)     840  TRIDENT CAP FIN    12/03   x  5.600      5.601   A-1+ NR  3a3
    Search Options      16)     839  COOPER ASSN TRAC   12/03   x  5.580      5.581   A-1+ P-1 4.2
                        17)     813  CATH HEALTH PART   12/03   x  5.540      5.551   A-1+ P-1 4.2
13) Create New Search   18)     765  GILLETTE COMPANY   12/03   x  5.550      5.551   A-1+ P-1 4.2
14) View Saved Searches 19)     751  EAGLEFUNDING CAP   12/03   x  5.600      5.601   A-1  P-1 4.2
15) Contributor Display Options 20)  743  LIMITED INC     12/03   x  5.620      5.681   A-2  P-2 3a3
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From here, you can gather more descriptive information on the issuer, perform yield analysis or look for additional news and research. Or if you're ready to trade, select the ticket writing function, **<Buy Ticket>** from the same pop-up window and a buy ticket will appear.


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      Bloomberg  Offerings  On  Money  Markets

Search Results Summary    |  Size (M)  MERRILL LYNCH   Maturity  SD Discount   Yield   Page 1 of 30
                         1)  26,000  COUNTRYWIDE HOME   12/03   x  5.620      5.621   A-1  P-2 3a3
Contributor      Current      13,200  DUQUESNE LIGHT     12/03   x  5.630      5.631        P-2 4.2
1) Merrill Lynch   ┌─────────────── SLM Holding Cor 4.2 SLM CP 12/8/99 ───────────────┐  A-1 4.2
2) CS First Bo     │                                                                    │  P-3 4.2
3) Goldman Sa      │  Quantity   [        ]±   Face amount $1000    Posted quantity 3382 │  A-1 4.2
4) Lehman Bro      │                                                                    │  A-1 3a3
5) JP Morgan       │  Discount of [       ]±   Yield 5.41                                │  P-1 4.2
6) Smith Barne     │                                                                    │  P-3 4.2
7) Chase           │  Settlement [        ]±   Maturity 12/8/99    Days to Maturity 1    │  A-1 3a3
8) Banc of Am      │                                                                    │  P-3 4.2
9) Morgan Sta      │  DTC Cusip       78442SZ86   Cust A/C [       ]   Pin [        ]     │  A-1 4.2
10) Deutsche B     │  Principle       99,984.00                                          │  A-1 4.2
11) Paine Webb     │  Redemption Value 100,000.00                                        │  P-3 4.2
12) Norwest Inv    │  Discount Amount  15.22                                             │  A-1 4.2
                   │  Notes: [                                                        ] │  A-1 4.2
                   │                                                                    │  NR  3a3
    Search         │            Cancel                                                  │     4.2
                   └────────────────────────────────────────────────────────────────────┘
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Click **\<Create New Search\>** or **\<View Saved Searches\>** to return to the main **BOOM** page.

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<HELP> for explanation, <MENU> for similar functions.   DG51 a Govt   BOOM
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Search Results Summary		1	Size (M)	MERRILL LYNCH	Maturity	SD Discount	Yield	Page 1 of 30
		1)	26,000	COUNTRYWIDE HOME	12/03	5.520	5.621	A-1 P-2 3s3
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2) CS First Boston	158	3)	11,950	CONSTELLATION EN	12/03	5.580	5.581	A-1 P-1 4.2
3) Goldman Sachs	293	4)	9,500	SERVICE CRP INTL	12/03	6.050	6.051	A-3 P-3 4.2
4) Lehman Brothers	341	5)	4,273	KITTY HAWK FUND	12/03	5.590	5.591	A-1+ P-1 4.2
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7) Chase	90	8)	2,000	MCN ENERGY ENTER	12/03	5.900	5.901	A-2 P-3 4.2
8) Banc of America	165	9)	1,741	UNITED PARCEL	12/03	5.550	5.551	A-1+ P-1 2s3
9) Morgan Stanley	133	10)	1,258	HUMANA INC	12/03	5.930	5.931	A-2 P-3 4.2
10) Deutsche Bank	26	11)	1,200	GREYHAWK FUNDING	12/03	5.590	5.591	A-1+ P-1 4.2
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12) Norwest Investment	32	13)	1,000	VIACOM INC	12/03	5.800	5.801	NR P-3 4.2
		14)	992	RECEIVABLES CAP	12/03	5.590	5.591	A-1+ P-1 4.2
		15)	840	TRIDENT CAP FIN	12/03	5.600	5.601	A-1+ P-1 4.2
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14) View Saved Searches		19)	751	EAGLEFUNDING CAP	12/03	5.600	5.601	A-1 P-1 4.2
15) Contributor Display Options		20)	743	LIMITED INC	12/03	5.680	5.681	A-2 P-2 3s3

For more on Bloomberg's liquidity products and straight thru processing solutions, enter **MRKT \<GO\>** and page forward for **Commerical Paper Contributors** or **ET \<GO\>** for **Bloomberg Electronic Trade** and page forward for **Commercial Paper.**

RELATED FUNCTIONS: The **Approved Issuer Lists (AIL)** compile lists of the commercial paper issuers that you are permitted to buy.

FREQUENTLY ASKED QUESTIONS

Q: *If I auto-execute my commercial paper directly from a specific dealer, do I need to go to another Bloomberg window to do so?*

A: NO. Entering a Commercial Paper Dealer page in BOOM or outside of BOOM is exactly the same. Enablement is also the same.

Q: *Why is it important to have a "Search Profile"?*

A: Because often Commercial Paper buyers have multiple criteria needs (depending on the portfolios they manage, the timing of investment needs, specific quantities required or various economic scenarios) that would prescribe changing their search criteria on a regular basis. For example, if XYZ Corporation always buys three-day commercial paper every Friday but Monday through Thursday they bought in the 30-day area, they would save these 2 search profiles.

Q: *What is this "Approved issuer list"?*

A: Past experience has shown that even though commercial paper carries a short maturity, credit quality can deteriorate rapidly causing losses in principal. And since Money Markets are bought as cash-equivalents, low risk is superior to high yield. Therefore, a short-term money manager must rely on some credit analysis. And ordinarily, their company's credit analysts create a specific LIST of preapproved names that are distributed to the portfolio managers. This LIST is duplicated in Bloomberg's AIL function, whose purpose is to aggregate the names as a single filter whereby ONLY those approved names are viewed.

Q: *I don't understand this "enabling" thing. Do I work on getting clients enabled? And how do they know they are enabled or not?*

A: **ONLY Commercial Paper Dealers can control viewing and or auto-executing privileges.** Bloomberg is not authorized to do this. To see which Dealer(s)you are able to view/trade, type BOOM <GO>. "ALL" should appear in the "Dealer List" field. To view the dealer list, enter a "?" in the "Dealer List" field. A pop-up menu will appear— an "X" to the right of the dealer's name. Make sure ALL Dealers are requested. Press <Menu> to return to the main menu. Enter 1 <GO> to update changes to the profile and monitor offers. The Dealers for which you have been enabled are numbered starting on the top of the page. The Dealers for which you who have not been enabled will dipslay their logos on the lower half of the page. Click on a dealer logo, to find out how to become enabled.

HELP for explanation, MENU for similar functions.   DG51 a Govt   **BOOM**

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Bloomberg Offerings On Money Markets

Search Results Summary	1	Size (M)	MERRILL LYNCH	Maturity	SD Discount	Yield	Page 1 of 30
	1)	26,000	COUNTRYWIDE HOME	12/03	≈ 5.520	5.621	A-1 P-2 0a3
	2)	12,000	DUQUESNE LIGHT	12/03	≈ 5.620	5.621	P-2 4.2

SLM Holding Cor 4.2 SLM CP 12/8/99

1) Merrill Lync
2) CS First Bo
3) Goldman Sa
4) Lehman Bro
5) JP Morgan
6) Smith Barne
7) Chase
8) Banc of Ame
9) Morgan Sta
10) Deutsche B
11) Paine Webb
12) Norwest Inv

Search

13) Create New Search
14) View Saved Searches
15) Contributor Display Options

Quantity [___]±   Face amount $1000   Posted quantity 3382

Discount of [___]±   Yield 5.41

Settlement [___]±   Maturity 12/8/99   Days to Maturity 1

DTC Cusip   78442SZ86   Cust A/C [_____]   Pin [_____]
Principle   99,984.00
Redemption Value 100,000.00
Discount Amount   15.22
Notes: [_____]

Cancel

17)	615	CHTA HEALTH PART	12/03	≈ 5.560	5.561 A-1+ P-1 4.2
18)	765	GILLETTE COMPANY	12/03	≈ 5.550	5.551 A-1+ P-1 4.2
19)	751	EAGLEFUNDING CAP	12/03	≈ 5.600	5.601 A-1 P-1 4.2
20)	743	LIMITED INC	12/03	≈ 5.680	5.681 A-2 P-2 3.3